

I. References of Interest

A. Dialog

Dialog eLink: [Order File History](#)

16/3K/11 (Item 11 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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01432420

METHODS AND APPARATUS TO PREDICT DEMAND FOR A PRODUCT OR SERVICE METHODES ET DISPOSITIF PERMETTANT DE PREVOIR LA DEMANDE POUR UN PRODUIT OU UN SERVICE

Patent Applicant/Patent Assignee:

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US; US (Residence); US (Nationality)
(Designated only for: US)

Patent Applicant/Inventor:

- **HARMON Richard**
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(Nationality); (Designated only for: US)

Legal Representative:

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4 Park Plaza, Suite 1600, Irvine, California 92614-2558; US;

	Country	Number	Kind	Date
Patent	WO	2006116570	A2-A3	20061102
Application	WO	2006US15956		20060425
Priorities	US	2005674866		20050425

Detailed Description:

...terminals over the network to be displayed by the buyers' and sellers' network browsers. The **interface** is configured to **transmit user commands entered** by the **buyers** and **sellers** to the exchange for submitting offers to sell inventory, bids to purchase inventory as well...

20/3.K/47 (Item 3 from file: 23)

DIALOG(R)File 23: CSA Technology Research Database

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0010434399 IP Accession No: 200809-71-1931885; 200809-61-2034165; 20081884204; A08-99-1987861

Apparatus for insuring futures contracts against catastrophic loss

Sober, Michael S
, USA

Document Type: Patent

Record Type: Abstract

Language: English

File Segment: Metadex; Mechanical & Transportation Engineering Abstracts; ANTE: Abstracts in New Technologies and Engineering; Aerospace & High Technology

Abstract:

...customer transactions including various data for insurance unit purchases and renewals, unit cancellations resulting from **investor- or broker- initiated** sales of insured futures contracts, and insurance-activated **sales** when the **price** of the futures contract has declined below the insurance activation price. A central office computer receives, tests and processes the customer transaction data from the multiple point-of-sale stations. The central **system provides** information concerning the number and type of futures contracts currently insured, as well as how... ...the current projection of possible loss claims against the insurance plan. By comparing the current **price** with the **purchase price** of the futures contract, it is determined when the insured's loss has exceeded the... ...at which time the futures position is to be sold by the broker. The central **system provides** periodic **reports** concerning insurance transactions.

B. Additional Resources Searched

II. Inventor Search Results from Dialog

File 20:Dialog Global Reporter 1997-2009/May 08
(c) 2009 Dialog
File 15:ABI/Inform(R) 1971-2009/May 07
(c) 2009 ProQuest Info&Learning
File 610:Business Wire 1999-2009/May 08
(c) 2009 Business Wire.
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 613:PR Newswire 1999-2009/May 08
(c) 2009 PR Newswire Association Inc
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
File 634:San Jose Mercury Jun 1985-2009/May 07
(c) 2009 San Jose Mercury News
File 624:McGraw-Hill Publications 1985-2009/May 08
(c) 2009 McGraw-Hill Co. Inc
File 9:Business & Industry(R) Jul/1994-2009/May 07
(c) 2009 Gale/Cengage
File 275:Gale Group Computer DB(TM) 1983-2009/Apr 14
(c) 2009 Gale/Cengage
File 621:Gale Group New Prod.Annou.(R) 1985-2009/Apr 03
(c) 2009 Gale/Cengage
File 636:Gale Group Newsletter DB(TM) 1987-2009/Apr 17
(c) 2009 Gale/Cengage
File 16:Gale Group PROMT(R) 1990-2009/Apr 17
(c) 2009 Gale/Cengage
File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2009/Apr 24
(c) 2009 Gale/Cengage

Set	Items	Description
S1	7	AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE (2N) D?)

File 2:INSPEC 1898-2009/Apr W4
(c) 2009 Institution of Electrical Engineers
File 35:Dissertation Abs Online 1861-2009/Apr
(c) 2009 ProQuest Info&Learning
File 65:Inside Conferences 1993-2009/May 08
(c) 2009 BLDESC all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2009/Apr
(c) 2009 The HW Wilson Co.
File 474:New York Times Abs 1969-2009/May 08
(c) 2009 The New York Times
File 475:Wall Street Journal Abs 1973-2009/May 08
(c) 2009 The New York Times
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 Gale/Cengage
File 256:TecInfoSource 82-2009/Mar
(c) 2009 Info.Sources Inc
File 23:CSA Technology Research Database 1963-2009/May
(c) 2009 CSA.

Set Items Description
S1 723 AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE(2N)D?)
S2 5 1 AND (TREND? ? OR STATISTIC???? OR CHART?? OR PATTERN OR
 TRACK???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIV-
 AT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR -
 SELL???(2N) (ORDER? ?))

File 348:EUROPEAN PATENTS 1978-200918
 (c) 2009 European Patent Office
File 349:PCT FULLTEXT 1979-2009/UB=20090423|UT=20090416
 (c) 2009 WIPO/THOMSON
File 324:GERMAN PATENTS FULLTEXT 1967-200917
 (c) 2009 UNIVENTIO/THOMSON

Set Items Description
S1 31 AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE(2N)D?)
S2 1 S1 AND (TREND? ? OR STATISTIC???? OR CHART?? OR PATTERN OR
 TRACK???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTI-
 VAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR
 SELL???(2N) (ORDER? ?))

File 350:Derwent WPIX 1963-2009/UD=200927
 (c) 2009 Thomson Reuters
File 347:JAPIO Dec 1976-2009/Jan(Updated 090503)
 (c) 2009 JPO & JAPIO
File 344:Chinese Patents Abs Jan 1985-2006/Jan
 (c) 2006 European Patent Office

Set Items Description
S1 27 AU=(DUQUETTE, D? OR DUQUETTE D? OR DUQUETTE(2N)D?)
S2 0 S1 AND (TREND? ? OR STATISTIC???? OR CHART?? OR PATTERN OR
 TRACK???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTI-
 VAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING)

III. Text Search Results from Dialog

A. Patent Files, Abstract

File 350:Derwent WPIX 1963-2009/UD=200927

(c) 2009 Thomson Reuters

File 347:JAPIO Dec 1976-2009/Jan(Updated 090503)

(c) 2009 JPO & JAPIO

File 344:Chinese Patents Abs Jan 1985-2006/Jan

(c) 2006 European Patent Office

Set	Items	Description
S1	3421264	(SOFTWARE OR AUTOMAT?? OR COMPUTERI?? OR SYSTEM? ? OR PROGRAM?? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG?? OR SOLUTION? ? OR TOOL? ?)(7N)(DISPLAY?? - OR BROADCAST?? OR FORWARD?? OR SEND?? OR TRANSMIT?? OR REPORT?? OR PROVID?? OR PRESENT?? OR OUTPUT?? OR SHOW?? OR LIST?? OR MONITOR?? OR EXHIBIT?? OR VIEW?? OR IMAGE?? - OR SCREEN???)
S2	92907	(BUY?? OR PURCHASE?? OR SELL?? OR SALE? ? OR DAY? ? OR GTC? ? OR LIMIT?? OR MARKET?(1W)CLOS?? OR STOP?()LIMIT?? OR STOP?()LOSS? OR MARKET?? OR STOP?? OR ASKING OR BIDDING)-(3N)(ORDER?? OR REQUEST?? OR DIRECT? OR INSTRUCTION? ? OR COMMAND?? OR PRIC???)
S3	127840	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDR? ? OR BROKER? ?)(7N)(INITIAT?? OR START?? OR BEG?N?? - OR LED OR LEAD? ? OR ORIGINAT?? OR ACTIVAT?? OR CAUS?? OR ARRANG?? OR COMMENC?? OR OPEN?? OR ENTER?? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	20867	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?)(7N)(INITIAT?? OR START?? OR BEG?N?? OR LED OR LEAD? ? OR ORIGINAT?? OR ACTIVAT?? OR CAUS?? OR ARRANG?? OR COMMENC?? OR OPEN?? OR ENTER?? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	20209	(S2 OR S3 OR S4)(7N)(TREND? ? OR STATISTIC?? OR CHART?? OR PATTERN OR TRACK?? OR TENDENC?? OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR -PARAMETER? ? OR GROW?? OR REDUC???)
S6	12	(TREND? ? OR STATISTIC?? OR CHART?? OR PATTERN OR TRACK??)(3N)(BUYER? ? OR SELLER? ?)(3N)(INITIAT?? OR ACTIVAT?? OR OPEN?? OR MADE OR MAKE? ? OR MAKING)(3N)(BUY?? OR SELL?? - ?(2N)(ORDER? ?))
S7	28711	S1 AND S2
S8	829	S7 AND S3
S9	359	S8 AND S4
S10	57	S9 AND S5
S11	2	S10 AND S6
S12	12	S6 OR S11
S13	12	IDPAT (sorted in duplicate/non-duplicate order)
S14	12	IDPAT (primary/non-duplicate records only)

B. Patent Files, Full-Text

File 348:EUROPEAN PATENTS 1978-200918

(c) 2009 European Patent Office

File 349:PCT FULLTEXT 1979-2009/UB=20090423|UT=20090416

(c) 2009 WIPO/THOMSON

File 324:GERMAN PATENTS FULLTEXT 1967-200917

(c) 2009 UNIVENTIO/THOMSON

Set	Items	Description
S1	2877659	(SOFTWARE OR AUTOMAT?? OR COMPUTERI???) OR SYSTEM? ? OR PROGRAM???) OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG???) OR SOLUTION? ? OR TOOL? ?)(7N)(DISPLAY???) - OR BROADCAST???) OR FORWARD???) OR SEND???) OR TRANSMIT???) OR REPORT???) OR PROVID???) OR PRESENT???) OR OUTPUT???) OR SHOW???) OR LIST???) OR MONITOR???) OR EXHIBIT???) OR VIEW???) OR IMAGE???) - OR SCREEN???)
S2	234142	(BUYER???) OR PURCHASE???) OR SELL???) OR SALE? ? OR DAY? ? OR G-TC? ? OR LIMIT???) OR MARKET? (1W) CLOS???) OR STOP?() LIMIT???) OR STOP?() LOSS? ? OR MARKET???) OR STOP????? OR ASKING OR BIDDING)-(3N) (ORDER???) OR REQUEST???) OR DIRECT? OR INSTRUCTION? ? OR COMMAND???) OR PRIC???)
S3	207908	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDR? ? OR BROKER? ?)(7N)(INITIAT???) OR START???) OR BEG?N???) - OR LED OR LEAD? ? OR ORIGINAT???) OR ACTIVAT???) OR CAUS???) OR -ARRANG???) OR COMMENC???) OR OPEN???) OR ENTER???) OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	36359	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?)(7N)(INITIAT???) OR START???) OR BEG?N???) OR LED OR LEAD? ? OR ORIGINAT???) OR ACTIVAT???) OR CAUS???) OR ARRANG???) OR COMMENC???) OR OPEN???) OR ENTER???) OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	46529	(S2 OR S3 OR S4)(7N)(TREND? ? OR STATISTIC???) OR CHART???) OR PATTERN OR TRACK???) OR TENDENC???) OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR -PARAMETER? ? OR GROW???) OR REDUC???)
S6	74	(TREND? ? OR STATISTIC???) OR CHART???) OR PATTERN OR TRACK???) (3N)(BUYER? ? OR SELLER? ?)(3N)(INITIAT???) OR ACTIVAT???) OR OPEN???) OR MADE OR MAKE? ? OR MAKING)(3N)(BUY???) OR SELL???) ?(2N)(ORDER? ?)
S7	5498	S1(5N)S2
S8	8486	S1(10N)S2
S9	129	S8(5N)S3
S10	32	S9(5N)S4
S11	6	S10(5N)S5
S12	3	S8(5N)S6
S13	3	S8(10N)S6
S14	32	S10 OR S11 OR S12 OR S13
S15	32	IDPAT (sorted in duplicate/non-duplicate order)
S16	32	IDPAT (primary/non-duplicate records only)

Dialog eLink: Order File History

16/3K/5 (Item 5 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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01557300

SYSTEM FOR TRADING SPECTRUM RIGHTS

SYSTEME D'ECHANGE DE DROITS DE SPECTRES DE FREQUENCES

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	Country	Number	Kind	Date
Patent	WO	2007100660	A2-A3	20070907
Application	WO	2007US4729		20070223
Priorities	US	2006361713		20060224

Detailed Description:

...comprising a central processing unit (CPU) . The end user interface may be employed by either **buyer** 16 or **seller** 18 in **order** to initiate transactions or to perform asset- **monitoring** functions within **system** 10. Alternatively, such an end user interface may be replaced with any other suitable interface...

Dialog eLink: Order File History

16/3K/25 (Item 25 from file: 349)

DIALOG(R)File 349: PCT FULLTEXT

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00878882

METHOD AND SYSTEM FOR EXCHANGING COMMODITIES ONLINE
PROCEDE ET SYSTEME D'EXCHANGE DE PRODUITS EN LIGNE

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(For all designated states except: US)
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(For all designated states except: US)

Legal Representative:

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	Country	Number	Kind	Date
Patent	WO	200213096	A1	20020214
Application	WO	2001US24331		20010803
Priorities	US	2000224008		20000804

Detailed Description:

...to enter an offer for selling a commodity according to a predetermined contract and a **buyer order** entry screen , operatively associated with the **seller interface**, permitting a **buyer** to enter a bid for purchasing the commodity. The system may further include a transaction generator, operatively...

IV. Text Search Results from Dialog

A. NPL Files, Abstract

File 2:INSPEC 1898-2009/Apr W4
(c) 2009 Institution of Electrical Engineers
File 35:Dissertation Abs Online 1861-2009/Apr
(c) 2009 ProQuest Info&Learning
File 65:Inside Conferences 1993-2009/May 08
(c) 2009 BLSC all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2009/Apr
(c) 2009 The H W Wilson Co.
File 474:New York Times Abs 1969-2009/May 08
(c) 2009 The New York Times
File 475:Wall Street Journal Abs 1973-2009/May 08
(c) 2009 The New York Times
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 Gale/Cengage
File 256:TechInfoSource 82-2009/Mar
(c) 2009 Info.Sources Inc
File 23:CSA Technology Research Database 1963-2009/May
(c) 2009 CSA.

Set	Items	Description
S1	2359666	(SOFTWARE OR AUTOMAT?? OR COMPUTERI???) OR SYSTEM? ? OR PROGRAM?? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG?? OR SOLUTION? ? OR TOOL? ?)(5N)(DISPLAY?? - OR BROADCAST?? OR FORWARD?? OR SEND?? OR TRANSMIT?? OR REPORT?? OR PROVID?? OR PRESENT?? OR OUTPUT?? OR SHOW?? OR LIST?? OR MONITOR?? OR EXHIBIT?? OR VIEW?? OR IMAGE?? - OR MONITOR?? OR SCREEN???)
S2	1790949	(MARKET? ? OR BROKERAGE? ? OR EXCHANGE? ? OR INTERCHANGE? ? OR NETWORK? ? OR SYSTEM? ? OR AUTION? ? OR MARKET()PLACE? ? OR MARKETPLACE? ? OR MART? ? OR MULTIAUCTION? ? OR TRAD?? - OR NYSE OR STOCK? ? OR AMEX) (3N)(TRADE? ? OR TRADING OR PURCHASE?? OR ACQUISITION? ? OR TRANSACTION?? OR ORDER?? OR SOLD OR - SELLING OR SELL? ? OR BUY? ? OR BUYING OR SALE? ? OR TRANSFER?? OR EXCHANG?? OR INVEST?? OR MATCH?? OR BID? ? OR BIDDING OR AUCTION???)
S3	45765	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDR? ? OR BROKER? ?)(7N)(INITIAT?? OR START?? OR BEG?? - OR LED OR LEAD? ? OR ORIGINAT?? OR ACTIVAT?? OR CAUS?? OR - ARRANG?? OR COMMENC???? OR OPEN?? OR ENTER?? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	31377	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?)(7N)(INITIAT?? OR START?? OR BEG?? - OR LED OR LEAD? ? OR ORIGINAT?? OR ACTIVAT?? OR CAUS?? OR ARRANG?? OR COMMENC???? OR OPEN?? OR ENTER?? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	183344	(BUY?? OR PURCHASES?? OR SELL?? OR SALE? ? OR DAY? ? OR GTC? ? OR LIMIT?? OR MARKET?(1W)CLGS?? OR STOP?()LIMIT?? OR STOP?()LOSS? ? OR MARKET?? OR STOP???? OR ASKING OR BIDDING) - (3N)(ORDER?? OR REQUEST?? OR DIRECT? OR INSTRUCTION? ? OR COMMAND?? OR PRIC???)
S6	25896	(S3 OR S4 OR S5)(7N)(TREND? ? OR STATISTIC?? OR CHART?? OR PATTERN OR TRACK?? OR TENDENC?? OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS? OR FLUCTUATION? ? OR - PARAMETER? ? OR GROW?? OR REDUC?????)

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S7      30  (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK-
        ???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT???
        OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY??? OR SELL???
        ?(2N) (ORDER? ?))
S8      146908  S1 AND S2
S9      662   S8 AND S3
S10     47    S9 AND S4
S11     12    S10 AND S5
S12     385   S8 AND S6
S13     58    S12 AND S3
S14     9     S13 AND S4
S15     1132  S3 AND S4
S16     191   S15 AND S5
S17     37    S16 AND S6
S18     81    S11 OR S14 OR S17 OR S7
S19     50    S18 NOT PY>2001
S20     50    RD (unique items)

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20/3,K/10 (Item 4 from file: 35)

DIALOG(R)File 35: Dissertation Abs Online

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01747848 ORDER NO: AADAA-I9976456

The informational content of trading activity

Author: Mingelgrin, Dan H.

Degree: Ph.D.

Year: 2000

Corporate Source/Institution: University of Pennsylvania (0175)

Source: Volume 6106A of Dissertations Abstracts International.

PAGE 2404 . 172 PAGES

ISBN: 0-599-82171-X

...concentrates on intradaily trading activity. We find that 10-minute trading periods of unusually large **buyer-initiated** net order flow or unusually high **volume** tend to be followed by periods of price appreciation up to 24 hours <italic>after... ...periods of unusually low trading volume and under certain conditions for periods of unusually large **seller-initiated** net order flow. We show that these results are consistent the existence of frictions in the market...

20/3,K/46 (Item 2 from file: 23)

DIALOG(R)File 23: CSA Technology Research Database

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0010842369 IP Accession No: 200811-71-2109417; 200811-61-2212506; 20082051435; A08-99-2154821

Automated competitive bidding system and process

Khalidi, Tariq
, USA

Document Type: Patent

Record Type: Abstract

Language: English

File Segment: Metadex; Mechanical & Transportation Engineering Abstracts; ANTE: Abstracts in New Technologies and Engineering; Aerospace & High Technology

Automated competitive bidding system and process

Abstract:

...for suppliers for each module is made from multiple sources based on the modules and **directed** by the **buyer**'s specifications for the product or service. The **system** of the **present** invention **packages**, processes, connects, procures, bids and **reports** the competitive bidding cycle for **buyers** and **makes** the necessary connection with interested prequalified **sellars** in a given industry or market. The **system** receives, compiles, processes and **reports** the results. The **system** of the **present** invention includes a consortium of horizontal and vertical portals, gateways and universal resource locations that encompass **trade**, industry and **market** communities.

B. NPL Files, Full-text

File 20:Dialog Global Reporter 1997-2009/May 08
 (c) 2009 Dialog
File 15:ABI/Inform(R) 1971-2009/May 07
 (c) 2009 ProQuest Info&Learning
File 610:Business Wire 1999-2009/May 08
 (c) 2009 Business Wire.
File 810:Business Wire 1986-1999/Feb 28
 (c) 1999 Business Wire
File 613:PR Newswire 1999-2009/May 08
 (c) 2009 PR Newswire Association Inc
File 813:PR Newswire 1987-1999/Apr 30
 (c) 1999 PR Newswire Association Inc
File 634:San Jose Mercury Jun 1985-2009/May 07
 (c) 2009 San Jose Mercury News

Set	Items	Description
S1	6234517	(SOFTWARE OR AUTOMAT?? OR COMPUTERI?? OR SYSTEM? ? OR PRO- GRAM?? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODU- LE? ? OR PACKAG?? OR SOLUTION? ? OR TOOL? ?)(5N)(DISPLAY?? - OR BROADCAST?? OR FORWARD?? OR SEND?? OR TRANSMIT?? OR RE- PORT?? OR PROVID?? OR PRESENT?? OR OUTPUT?? OR SHOW?? OR LIST?? OR MONITOR?? OR EXHIBIT?? OR VIEW?? OR IMAGE?? - OR MONITOR?? OR SCREEN???)
S2	17563562	(MARKET? ? OR BROKERAGE? ? OR EXCHANGE? ? OR INTERCHANGE? ?

		OR NETWORK? ? OR SYSTEM? ? OR AUCTION? ? OR MARKET()PLACE? ? OR MARKETPLACE? ? OR MART? ? OR MULTIAUCTION? ? OR TRAD??? OR NYSE OR STOCK? ? OR AMEX) (3N) (TRADE)? ? OR TRADING OR PURCHASE? - ?? OR ACQUISITION? ? OR TRANSACT????? OR ORDER??? OR SOLD OR - SELLING OR SELL? ? OR BUY? ? OR BUYING OR SALE? ? OR TRANSFER- ??? OR EXCHANG??? OR INVEST??? OR MATCH??? OR BID? ? OR BIDD- ING OR AUCTION???)
S3	885590	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VE- NDOR? ? OR BROKER? ?) (?N) (INITIAT??? OR START??? OR BEG?N?? - OR LED OR LEAD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR ARRANG??? OR COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR M- AKE? ? OR MAKING OR CREAT???)
S4	1434555	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR C- LIENT? ?) (?N) (INITIAT??? OR START??? OR BEG?N?? OR LED OR LE- AD? ? OR ORIGINAT??? OR ACTIVAT??? OR CAUS??? OR ARRANG??? OR COMMENC????? OR OPEN??? OR ENTER??? OR MADE OR MAKE? ? OR MAK- ING OR CREAT???)
S5	4508428	(BUY??? OR PURCHASE??? OR SELL??? OR SALE? ? OR DAY? ? OR G- TC? ? OR LIMIT??? OR MARKET? (1W) CLOS??? OR STOP?() LIMIT??? OR STOP?() LOSS? ? OR MARKET??? OR STOP????? OR ASKING OR BIDDING)- (3N) (ORDER??? OR REQUEST??? OR DIRECT? OR INSTRUCTION? ? OR C- OMMAND??? OR PRIC???)
S6	591800	(S3 OR S4 OR S5) (?N) (TREND? ? OR STATISTIC????? OR CHART??? OR PATTERN OR TRACK??? OR TENDENC????? OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR - PARAMETER? ? OR GROW??? OR REDUC?????)
S7	47	(TREND? ? OR STATISTIC????? OR CHART??? OR PATTERN OR TRACK- ???) (3N) (BUYER? ? OR SELLER? ?) (3N) (INITIAT??? OR ACTIVAT??? OR OPEN??? OR MADE OR MAKE? ? OR MAKING) (3N) (ORDER? ?)
S8	203310	S1(5N)S2
S9	372	S8(5N)S3
S10	569	S8(10N)S3
S11	791	S8(15N)S3
S12	108	S11(5N)S4
S13	4	S12(5N)S5
S14	39	S11(5N)S6
S21	39	S14(5N)S3
S22	2	S21(5N)S4
S23	2	S21(10N)S4
S24	39	S14(10N)S6
S25	39	S14(20N)S6
S26	35	S7 NOT PY>2002
S27	40	S13 OR S22 OR S23 OR S26
S28	39	S27 NOT PY>2002

28/3,K/3 (Item 3 from file: 20)

DIALOG(R)File 20: Dialog Global Reporter
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21781906 (USE FORMAT 7 OR 9 FOR FULLTEXT)

CCIL WILL BRING DOWN FOREX TRADING COST DRASTICALLY: RH PATIL (to offer 2 types of services in the foreign exchange market by the end of May 2002)

INDIA BUSINESS INSIGHT

March 13, 2002

Journal Code: WIBI Language: English Record Type: FULLTEXT

Word Count: 129

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...system.

CCIL will offer 2 types of services in the forex market. One is the order matching between **buyers** and **sellers**. Another is the **chart-making** and online negotiation facility, which is being offered at a special price.

28/3,K/16 (Item 16 from file: 20)

DIALOG(R)File 20: Dialog Global Reporter

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11478916 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Stockmarket goes electronic on Tuesday

Section Title: Money & markets

Compiler: Ruth Marchand

SUNDAY BUSINESS POST

June 04, 2000

Journal Code: FSBP **Language:** English **Record Type:** FULLTEXT

Word Count: 647

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...expect to get a narrow two way price in a small capitalisation stock.

The new **screen** based Xetra **trading system** will

automatically **match** the best **orders entered** by

buyers and **sellers**. It will allow **brokers** to view the

currency order flow as well as volumes which will be a first...

28/3,K/23 (Item 2 from file: 15)

DIALOG(R)File 15: ABI/Inform(R)

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02014906 52836250

Citi, TD assembling virtual B-to-B marketplaces

O'Brien, Jeanne

Bank Systems & Technology v37n5 pp: 32

May 2000

ISSN: 1045-9472 **Journal Code:** BSE

Word Count: 709

Text:

...Like the planned e-Citi portal, TD MarketSite will connect buying and supplying organizations in **open**, real-time trading communities.

Buyers at the MarketSites will be able to place and **track orders** with a range of **sellers** by accessing one Web site. The portals will enable customers to look at online catalogs...

C. NPL Files, Full-text (Part II)

File 624:McGraw-Hill Publications 1985-2009/May 08
(c) 2009 McGraw-Hill Co. Inc
File 9:Business & Industry(R) Jul/1994-2009/May 07
(c) 2009 Gale/Cengage
File 275:Gale Group Computer DB(TM) 1983-2009/Apr 14
(c) 2009 Gale/Cengage
File 621:Gale Group New Prod.Annou.(R) 1985-2009/Apr 03
(c) 2009 Gale/Cengage
File 636:Gale Group Newsletter DB(TM) 1987-2009/Apr 17
(c) 2009 Gale/Cengage
File 16:Gale Group PROMT(R) 1990-2009/Apr 17
(c) 2009 Gale/Cengage
File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2009/Apr 24
(c) 2009 Gale/Cengage

Set	Items	Description
S1	7710939	(SOFTWARE OR AUTOMAT?? OR COMPUTERI??? OR SYSTEM? ? OR PROGRAM?? OR APPLICATION? ? OR APP OR APPS OR INTERFACE OR MODULE? ? OR PACKAG?? OR SOLUTION? ? OR TOOL? ?)(5N)(DISPLAY?? - OR BROADCAST?? OR FORWARD?? OR SEND?? OR TRANSMIT?? OR REPORT?? OR PROVIDED?? OR PRESENT?? OR OUTPUT?? OR SHOW?? OR LIST?? OR MONITOR?? OR EXHIBIT?? OR VIEW?? OR IMAGE?? - OR MONITOR?? OR SCREEN??)
S2	12910026	(MARKET? ? OR BROKERAGE? ? OR EXCHANGE? ? OR INTERCHANGE? ? OR NETWORK? ? OR SYSTEM? ? OR AUCTION? ? OR MARKET()PLACE? ? OR MARKETPLACE? ? OR MART? ? OR MULTIAUCTION? ? OR TRAD?? OR NYSE OR STOCK? ? OR AMEX)(3N)(TRADE? ? OR TRADING OR PURCHASE?? OR ACQUISITION? ? OR TRANSACTION?? OR ORDER?? OR SOLD OR - SELLING OR SELL? ? OR BUY? ? OR BUYING OR SALE? ? OR TRANSFER?? OR EXCHANG?? OR INVEST?? OR MATCH?? OR BID? ? OR BIDDING OR AUCTION???)
S3	880831	(SELLER? ? OR AGENT? ? OR AUCTIONEER? ? OR DEALER? ? OR VENDOR? ? OR BROKER? ?)(7N)(INITIAT?? OR START?? OR BEG?N?? - OR LED OR LEAD? ? OR ORIGINAT?? OR ACTIVAT?? OR CAUS?? OR - ARRANG?? OR COMMENC???? OR OPEN?? OR ENTER?? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S4	1359820	(BUYER? ? OR BIDDER? ? OR PURCHASER? ? OR INVESTOR? ? OR CLIENT? ?)(7N)(INITIAT?? OR START?? OR BEG?N?? OR LED OR LEAD? ? OR ORIGINAT?? OR ACTIVAT?? OR CAUS?? OR ARRANG?? OR COMMENC???? OR OPEN?? OR ENTER?? OR MADE OR MAKE? ? OR MAKING OR CREAT???)
S5	5075429	(BUY?? OR PURCHASE?? OR SELL?? OR SALE? ? OR DAY? ? OR GTC? ? OR LIMIT?? OR MARKET?(1W)CLOS?? OR STOP?()LIMIT?? OR STOP?()LOSS? ? OR MARKET?? OR STOP?? OR ASKING OR BIDDING)-(3N)(ORDER?? OR REQUEST?? OR DIRECT? OR INSTRUCTION? ? OR COMMAND?? OR PRIC???)

S6	553470	(S3 OR S4 OR S5) (7N) (TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK?? OR TENDENC??? OR MOVEMENT? ? OR RATE? ? OR RATING? ? OR VOLUME? ? OR ANALYS?S OR FLUCTUATION? ? OR - PARAMETER? ? OR GROW??? OR REDUC????)
S7	1875	(TREND? ? OR STATISTIC???? OR CHART??? OR PATTERN OR TRACK- ???) (3N) (BUYER? ? OR SELLER? ?) (3N) ((INITIAT??? OR ACTIVAT??? OR OPENT?? OR MADE OR MAKE? ? OR MAKING) (3N) (BUY?? OR SELL??- ?(2N) (ORDER? ?))
S8	384584	S1 (10N) S2
S9	840	S8 (5N) S3
S10	1224	S8 (10N) S3
S11	159	S10 (5N) S4
S12	9	S11 (5N) S5
S13	886	S8 (5N) S6
S14	50	S13 (10N) S3
S15	4	S14 (10N) S4
S16	7	S8 (5N) S7
S17	29	S14 NOT PY>2001
S18	47	S12 OR S15 OR S16 OR S17
S19	42	S18 NOT PY>2001
S20	30	RD (unique items)

V. Additional Resources Searched